



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

September 5, 1997

The Honorable William V. Roth, Jr.
Chairman
Committee on Finance
United States Senate
Washington, DC 20510-6200

Dear Mr. Chairman:

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Margaret A. Hamburg, who has been nominated by President Clinton for the position of Assistant Secretary for Planning and Evaluation, Department of Health and Human Services.

We have reviewed the report and have also obtained advice from the Department of Health and Human Services concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is a letter dated September 3, 1997, from the Department's ethics official, outlining the steps which Ms. Hamburg will take to avoid conflicts of interest. Unless a specific date has been agreed to, the nominee must fully comply, within three months of her confirmation date, with the actions she agreed to take in her ethics agreement.

Based thereon, we believe that Ms. Hamburg is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in cursive script that reads "Stephen D. Potts".

Stephen D. Potts
Director

Enclosures

S.McCleary/SMcC/vm
Hamburg SF 278 File
Read File
Jan Papinchak



United States
Office of Government Ethics

1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

January 20, 1998

Edgar M. Swindell
Acting Associate General Counsel for Ethics and
Designated Agency Ethics Official
Department of Health and Human Services
700-E Humphrey Building
200 Independence Avenue, SW.
Washington, DC 20201

Dear Mr. Swindell:

I am writing to you concerning the ethics agreements made by Presidential appointees to positions requiring the advice and consent of the Senate pursuant to 5 U.S.C. App. 6 § 110. Upon confirmation, an appointee has three months (unless otherwise specified by the agreement) in which to satisfy any ethics agreement.

An appointee must notify his or her designated agency ethics official (DAEO), of any action(s) taken to satisfy his/her ethics agreement. Once the DAEO has received verification of compliance, he or she must submit this documentation to the Office of Government Ethics (OGE) and the appropriate Senate confirmation committee (See 5 C.F.R. § 2634.804).

In order to assist you in doing timely follow-ups on ethics agreement compliance, OGE has adopted the practice of formally contacting agencies, in writing, to remind them of outstanding (i.e., unsatisfied, but not yet delinquent) ethics agreements. OGE will forward its first letter approximately 45 days after the appointee's confirmation date. If the ethics agreement remains outstanding, a second letter will be sent approximately 85 days after the confirmation date, when the three-month time limit is about to expire. Hopefully, these formal reminders will reduce the number of ethics agreements that actually reach the delinquent stage.

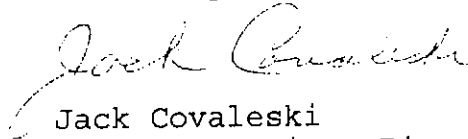
Presently, two Department of Health and Human Services appointees have outstanding ethics agreements. Below are the names of those appointees, their confirmation dates, and as of January 12, 1998, the number of days since their confirmation.

<u>Appointees</u>	<u>Confirmation Date</u>	<u>Days Since Confirmation</u>
Hamburg, Margaret A.	October 30, 1997	74
Takamura, Jeanette C.	November 6, 1997	67

Mr. Edgar M. Swindell
Page 2

Your attention to this matter is appreciated. If you should have any problems or questions, please contact Ms. Grace Clark at 202-208-8000, ext. 1170.

Sincerely,



Jack Covalleski
Senior Associate Director
Office of Agency Programs

GC/gc(sh)
ETH-7-3
278 Folders
Read File



United States
Office of Government Ethics

1201 New York Avenue NW Suite 500
Washington DC 20005-3917

June 5, 2001

Edgar M Swindell
Associate General Counsel Ethics and
Designated Agency Ethics Official
Department of Health and
Human Services
700-E Humphrey Building
200 Independence Avenue, SW.
Washington, DC 20201

Dear Mr Swindell:

I am writing to ask that you provide us with the 1999 and 2000 annual and termination public financial disclosure reports (SF 278) for several employees of the Department of Health and Human Services. As yet, we have not received reports from the enclosed list of individuals.

One of the objectives of the Financial Disclosure Division is to put increased emphasis on the timely submission of annual and termination financial disclosure reports for Presidential appointees confirmed by the Senate (PAS), and Designated Agency Ethics Officials (DAEO)

We have previously asked that each DAEO (OGE DAEOgram, DO-00-018, dated May 10, 2000) inform us no later than September 15, 2000 of instances where a report could not be approved by the agency and submitted to this Office, due to pending resolution of a conflict of interest, or the need for additional information or clarification. Moreover, we recently contacted the agencies to help determine the status of the outstanding reports. While we have been able to obtain the status on many of these reports, we still require your assistance to complete this task.

Please forward the reports or provide us with information regarding problems you are having in collecting, reviewing or correcting the reports. Your cooperation in this matter is greatly appreciated. If you have any questions, please contact Teresa Weakley of my staff, at (202) 208-8000, extension 1233. Her e-mail address is tlweakle@oge.gov.

Sincerely,

Jack Covaleski
Deputy Director
Office of Agency Programs

Enclosure

T. Weakley/TW(vm)
ETH 5-5
ETH 7-3
SF 278 File
D. Williams

SF 278 REPORTS NOT RECEIVED BY OGE

1999 Annual SF 278

Margaret A. Hamburg
Richard J. Tarplin

2000 Annual SF 278

Margaret A. Hamburg
Harriet S. Rabb
Richard J. Tarplin

2000 Termination SF 278

Nelba R. Chavez



United States
Office of Government Ethics

1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

March 12, 2002

Edgar M. Swindell
Associate General Counsel Ethics and
Designated Agency Ethics Official
Department of Health and
Human Services
700-E Humphrey Building
200 Independence Avenue, SW.
Washington, DC 20201

Dear Mr. Swindell:

I am writing to ask that you provide us with the 2001 termination public financial disclosure reports (SF 278) for several employees of the Department of Health and Human Services. As yet, we have not received reports from the enclosed list of individuals.

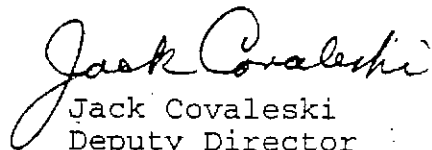
We have previously asked that each DAEO (OGE DAEOgram, DO-01-019, dated May 15, 2001) inform us no later than September 15, 2001 of instances where a report could not be approved by the agency and submitted to this Office, due to pending resolution of a conflict of interest, or the need for additional information or clarification. Moreover, we recently contacted the agencies to help determine the status of the outstanding reports. While we have been able to obtain the status on many of these reports, we still require your assistance to complete this task.

In addition, by letter dated June 5, 2001, we asked for the 1999 and 2000 annual SF 278s for Ms. Margaret A. Hamburg and Mr. Richard J. Tarplin. To date, we have not received the reports.

Please forward the reports as soon as possible or provide us with information regarding problems you are having in collecting, reviewing or correcting the reports. Your cooperation in this matter is greatly appreciated. If you have any questions, please contact Teresa Weakley of my staff, at (202) 208-8000, extension 1233. Her e-mail address is tlweakle@oge.gov.

T. Weakley/TW(vm)
ETH 5-5.1
ETH 7-3
Ed Pratt
D. Williams
Read File >

Sincerely,


Jack Covalesski
Deputy Director

Office of Agency Programs

Enclosure



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

April 17, 2009

The Honorable Edward M. Kennedy
Chairman
Committee on Health, Education,
Labor, and Pensions
United States Senate
Washington, DC 20510-6300

Dear Mr. Chairman

In accordance with the Ethics in Government Act of 1978, I enclose a copy of the financial disclosure report filed by Margaret A. Hamburg, who has been nominated by President Obama for the position of Commissioner, Food and Drug Administration, Department of Health and Human Services.

We have reviewed the report and have also obtained advice from the Department of Health and Human Services concerning any possible conflict in light of its functions and the nominee's proposed duties. Also enclosed is a letter dated March 26, 2009, from Dr. Hamburg to the agency's ethics official, outlining the steps Dr. Hamburg will take to avoid conflicts of interest. Unless a specific date has been agreed to, the nominee must fully comply within three months of her confirmation date with any action she agreed to take in her ethics agreement.

Based thereon, we believe that Dr. Hamburg is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

A handwritten signature in black ink, appearing to read "Robert I. Cusick".

Robert I. Cusick
Director

LSyme/LS(rj)
Hamburg 278
Rec'd File

Enclosures



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

July 2, 2009

Edgar Swindell
Associate General Counsel
Department of Health and Human Services
700-E Humphrey Building
200 Independence Avenue, SW.
Washington, DC 20201

Dear Mr. Swindell:

This letter concerns ethics agreements made by Presidential appointees to positions requiring the advice and consent of the Senate, pursuant to 5 U.S.C. App. 110. Upon confirmation, an appointee has 90 days (unless otherwise specified by the agreement) in which to satisfy any ethics agreement.

An appointee must notify her designated agency ethics official (DAEO) of any action(s) taken to satisfy her ethics agreement. Once the DAEO has received verification of compliance, he must submit this documentation to the Office of Government Ethics (OGE) and the appropriate Senate confirmation committee. (See 5 C.F.R. 2634.804.)

To assist agencies in ensuring that appointees comply with their ethics agreements within 90 days, I am writing to remind you that the following appointee has made an ethics agreement. As of July 2, 2009, she has 45 days remaining to comply with her ethics agreement.

<u>Appointee</u>	<u>Confirmation Date</u>	<u>COMPLIANCE EXPIRATION DATE</u>
Hamburg, Margaret	May 18, 2009	AUGUST 16, 2009

If she has already complied, please collect the documentation and forward it to OGE. Should you have any questions or require additional assistance, such as obtaining a Certificate of Divestiture for an appointee, please do not hesitate to contact me at 202-282-9309 or khkaplan@oge.gov.

Sincerely,

Kim Kaplan
Government Ethics Specialist

K. Kaplan/kk(vm)
ETH 5-7
ETH 7-3



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

August 5, 2009

Edgar Swindell
Associate General Counsel/Ethics
Department of Health and Human Services
700-E Humphrey Building
200 Independence Avenue, SW.
Washington, DC 20201

Dear Mr. Swindell:

This is to follow up on the first reminder letter your agency received from OGE regarding recent political appointees with ethics agreements.

Within the next **11** days, the following appointee's time period to comply with her ethics agreement will expire. Please ensure that the appointee has satisfied the elements of her ethics agreement by 8/16/2009 and notify OGE by this date about the status of compliance. All compliance documentation should be forwarded to OGE no later than **8/19/2009**.

<u>Appointee</u>	<u>Confirmation Date</u>	<u>COMPLIANCE EXPIRATION DATE</u>
Hamburg, Margaret	May 18, 2009	8/16/2009

Should you have any questions or require assistance please call **Kim Kaplan** at **202-482-9309** or email her at **khkaplan@oge.gov**.

Sincerely,

A handwritten signature in cursive script that reads "Patricia Zemple".

Patricia Zemple
Associate Director
Program Services Division



United States
Office of Government Ethics
1201 New York Avenue, NW, Suite 500
Washington, DC 20005-3917

July 13, 2011

Ms Carol D Leonnig
Washington Post
(b)(6)

Tracking No. OGE FOIA FY 11/62

Dear Ms Leonnig

This is in response to your request for copies of "All ethics agreements entered into since January 2009 for PAS filers per attached list". Your request was made on the OGE Form 201, Request to Inspect or Receive Copies of OGE Form 278/SF 278 Executive Branch Personnel Public Financial Disclosure Reports or Other Covered Records, but your request did not attach a list. However, you indicated in a telephone conversation with an Office of Government Ethics (OGE) staff member that you would like copies of the ethics agreements for the Presidentially-appointed and Senate confirmed (PAS) officials listed on the OGE website as of the date we received your request. Your request, which was dated June 30, 2011, was received by OGE on July 5, 2011. Please note that because the Ethics in Government Act of 1978, as amended does not provide for the public release of the ethics agreements, your request is being processed under the Freedom of Information Act (FOIA), 5 U S C § 552.

As a partial response to your request, we are releasing the ethics agreements of the individuals listed below. We are continuing to process your request as to the remainder of the ethics agreements.

Abbott, Sherburne B
Adelstein, Jonathon
Ah, Russlyn H
Allison, Herbert M
Appel, Peter H
Avant, Nicole A
Babbitt, Jerome R
Baker, Meredith
Baker, Roger W
Barr, Michael S
Beers, Rand
Benjamin, Daniel
Berry, M John
Berwick, Donald M

Beyer, Jr , Donald S
Blair, Dennis C
Blake, Robert
Blanchard, Charles
Blank, Rebecca
Bleich, Jeffrey L
Borzi, Phyllis
Bostic, Raphael
Boyd, April S
Brainard, Lael
Breuer, Lanny A
Brimmer, Esther D
Brinkman, William F
Bryson, John E
Burk, Susan F
C deBaca, Luis E
Campbell, Kurt
Cannon, Jonathan Z
Carden, David L
Carson, Johnnie
Carter, Ashton B
Castle, Anne J
Chopra, Aneesh
Chu, Steven
Clinton, Hillary Rodham
Clyburn, Mignon
Cohen, David S
Concannon, Kevin
Connor, Michael L
Corr, William V
Crowley, Philip J
Cunningham, Peter P
Daalder, Ivo H
Darcy, Jo-Ellen
Daschle, Thomas A
DePass, Michelle
Donahoe, Eileen C
Donovan, Shaun L S
Duckworth, Ladda T
Duncan, Arne S
Eacho, III, William Carlton
Easton, John Q
Echo Hawk, Larry J
Eikenberry, Karl W
Eisen, Norman L
Feltman, Jeffery D

Flournoy, Michele A
Fong, Ivan K
Fugate, William C
Gallagher, Daniel M
Geithner, Timothy F
Genachowski, Julius M
Gensler, Gary
Giles, Cynthia J
Gips, Donald
Goldstein, Jeffrey A
Gomez, Gabriella
Goolsbee, Austan
Goosby, Eric
Gordon, Philip H
Gottenmoeller, Rose E
Gould, William Scott
Greenlee, Kathy
Gregson, Wallace C
Gresham, Dana G
Groves, Robert
Gunn, William
Guthrie, Priscilla
Gutman, Howard W
Hale, Robert F
Hamburg, Margaret
Harden, Krysta
Harris, Scott
Harris, Seth D
Hayes, David W
Henriqz, Sandra
Heyman, David
Hill, Christopher R
Hochberg, Fred
Holder, Eric H , Jr
Holdren, John P
Hudson, James L
Huntsman, Jon M . Jr
Jackson, Lisa P
Jenkins, Bonnie
Johnsen, Dawn E
Johnson, Jeh C
Johnson, Kristina M
Johnson, Martha N
Kagan, Elena
Kanovsky, Helen R
Kanter, Martha J

Kaplan, Samuel L
Katz, Allan J
Kennard, William E
Kennedy, Brian V
Kerlikowske, Richard G
Kerr, Thomas Michael
Kerry, Cameron
Kientz, Roy W
King, Elizabeth
Kirk, Ronald
Koh, Harold H
Koh, Howard
Koonin, Steven E
Kovar, Peter A
Kris, David S
Krueger, Alan B
LaHood, Raymond H
Lamont, Thomas
Lane, Jeffrey A
Leonard, Joe E
Lew, Jacob J
Lewis, Wilma A
Litt, Robert
Locke, Gary F
Loui, Patricia M
Lubchenco, Jane A
Lute, Jane H
Lynn, William J
Mabus, Raymond Jr
Madison, George
Manning, Timothy W
Marantis, Demetrios J
Marquez, Mercedes
Marshall, Capricia
Martin, Carmel
McCarthy, Regina
McDowell, Robert
McHale, Judith
McLellan, Thomas
Mendez, Victor
Merrigan, Kathleen A
Miller, Anthony
Miller, James N , Jr
Miller, James W
Mills, Karen Gordon
Morin, Jamie

Morton, John T
Nabors, Robert L II
Nacht, Michael
Napolitano, Janet A
Nazario, Carmen
Newell, Richard
Oates, Jane
Ogden, David W
Oreck, Bruce J
Orszag, Peter R
O'Toole, Tara
Owens, Stephen
Panetta, Leon E
Perez, Thomas E
Perrelli, Thomas J
Petraeus, David H
Poneman, Daniel
Porcari, John
Preston, Stephen
Rediker, Douglas A
Reed, Pearlle
Remy, Donald
Rice, Susan E
Rios, Rosa
Rivkin, Charles
Robinson, Larry
Rogers, Thomasina
Rogoff, Peter
Romer, Christina D
Roos, John V
Rose, Charles P
Roubideaux, Yvette D
Rouse, Cecilia E
Salazar, Kenneth L
Sanchez, Francisco
Sandalow, David
Sapiro, Miriam
Schapiro, Mary L
Schwartz, Eric P
Sebelius, Kathleen G
Sepulveda, John U
Shah, Rajeev
Shapiro, Andrew
Shinseki, Eric K
Silva, Peter
Sims, Ronald C

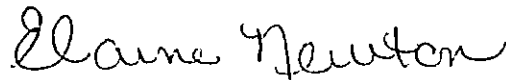
Smith, M Patricia
Smith, Mary L
Solis, Hilda L
Solomont, Alan D
Stanislaus, Marthy
Steinberg, James B
Stevens, David
Stockton, Paul
Strickland, Thomas L
Strickling, Lawrence E
Stroum, Cynthia
Suh, Rhea
Sullivan, John
Sunstein, Cass
Susman, Louis B
Sutley, Nancy H
Szabo, Joseph C
Tarullo, Daniel K
Tauscher, Ellen
Tompkins, Hilary C
Tonsager, Dallas P
Trasvina, John
Triay, Ines R
Varney, Christine A
Verma, Richard R
Vershbow, Alexander R
Verveer, Melanne S
Vilsack, Thomas J.
Wallace, Kim N
Weber, Andrew
Weich, Ronald H
Welters, Beatrice W
West, Derek A
White, Barry B
Wilkins, William
Wolin, Neal
Work, Robert
Zients, Jeffrey
Zoi, Catherine

These documents are being provided in their entirety, subject to various deletions, which are marked on the enclosed copies. The deleted material, on approximately 40 pages, is being withheld under FOIA Exemptions 4 and 6, 5 U S C §§ 552(b)(4) and (b)(6) as commercial or financial information obtained from a person and privileged or confidential and information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

Ms Carol D Leonnig
Page 7

The OGE official responsible for this FOIA determination is the undersigned. In accordance with the FOIA, as codified at 5 U S C § 552(a)(6)(A), and OGE's FOIA regulations, at 5 C F R § 2604.304, you may administratively appeal this partial denial of your request. The name and address of the OGE official to whom such an appeal would have to be submitted are: Don W Fox, General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue, NW, Washington, DC 20005-3917. Any such appeal must be in writing and must be sent within 30 days of the date you receive this response letter. If you do appeal, you should include copies of your request and this response, together with a statement of why you believe this initial determination is in error. Also, if you appeal, you should clearly indicate on the envelope and in the letter that it is a "Freedom of Information Act Appeal."

Sincerely,



Elaine Newton
OGE FOIA Officer

Enclosures



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

Jeremy Singer-Vine
Ken Bensinger
Buzzfeed

(b)(6)

Tracking No: OGE FOIA FY 15/01

Dear Mr. Singer-Vine and Mr. Bensinger:

This letter responds to your Freedom of Information Act (FOIA) request, which was received by the U.S. Office of Government Ethics (OGE) FOIA Office on October 14, 2014. In your request, you asked for copies of "all executive-branch public financial disclosure reports (SF 278 / OGE Form 278) and associated ethics agreements filed with the OGE since January 1, 2004." You also asked for "all related files (databases, spreadsheets, et cetera) related to these reports." On October 27, 2014, you clarified your request, noting that you want only those reports currently in a "digitized" form and that you wanted a list of 278 filers that is arranged by the same categories of information presently available on our automate system (e.g., filer name, agency, position, and filing season).

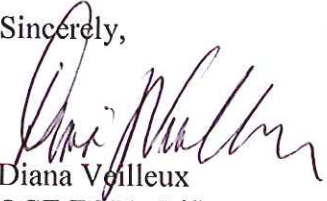
We are enclosing an excel spreadsheet that contains the filers' name, agency, position, and filing year for the requested reports. Please note that under 5 U.S.C. app. § 105(d), OGE 278 reports are generally destroyed six years after receipt of the report or destroyed after one year in certain circumstances.

In regard to the public financial disclosure reports (OGE 278s), OGE 278s are not available under FOIA, pursuant to FOIA Exemption (b)(3), 5 U.S.C. § 552(b)(3) as material specifically exempted from disclosure by another statute. Access to the OGE 278s is only available under the special access provision of the Ethics in Government Act of 1978 (5 U.S.C. appendix, § 105) and OGE's regulations. The special access provision requires an individual to submit a written application to access these reports that states his or her name, occupation and address; the name and address of any other person or organization on whose behalf the copy is requested; and that he or she is aware of the prohibitions on the obtaining or use of the report. As your request provided all of the required information, we are processing your request for OGE 278s under this special access provision. In addition, we will provide the ethics agreements associated with the requested OGE 278s through this process. Again, please note that under 5 U.S.C. app. § 105(d), OGE 278 reports are generally destroyed six years after receipt of the report or destroyed after one year in certain circumstances.

The OGE official responsible for this FOIA determination is the undersigned. In accordance with the FOIA, as codified at 5 U.S.C. § 552(a)(6)(A), and OGE's FOIA regulations, at 5 C.F.R. § 2604.304, you may administratively appeal this determination to the General

Counsel, U.S. Office of Government Ethics, 1201 New York Avenue, N.W., Suite 500, Washington, DC 20005-3917. Any such appeal must be in writing and must be sent within 30 days of the date you receive this response letter. If you do appeal, you should include copies of your request and this response, together with a statement of why you believe this initial determination is in error. Also, if you appeal, you should clearly indicate on the envelope and in the letter that it is a "Freedom of Information Act Appeal."

Sincerely,



Diana Veilleux
OGE FOIA Officer

[REDACTED]	[REDACTED]	unresponsive [REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	unresponsive [REDACTED]
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unresponsive [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
unresponsive [REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
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[REDACTED]	[REDACTED]	unresponsive [REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Hamburg, Margaret A	Department Of Health And Human Services	Commissioner Food and Drug Administration	2009 OGE Form 278-Nominee
Hamburg, Margaret A	Department Of Health And Human Services	Commissioner Food and Drug Administration	2010 OGE Form 278-Annual
Hamburg, Margaret A	Department Of Health And Human Services	Commissioner Food and Drug Administration	2011 OGE Form 278-Annual
Hamburg, Margaret A	Department Of Health And Human Services	Commissioner Food and Drug Administration	2012 OGE Form 278-Annual
Hamburg, Margaret A	Department Of Health And Human Services	Commissioner Food and Drug Administration	2013 OGE Form 278-Annual
[REDACTED]	[REDACTED]	unresponsive [REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	unresponsive [REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	unresponsive [REDACTED]	[REDACTED]

Form 278 - Annual Edit

Filer

Name	Agency/Position
Hamburg, Margaret A	Department Of Health And Human Services Commissioner Food and Drug Administration

Workflow and Routing [need instructions?](#)

Routing Step Number	Task Summary
of 5	

Review

Type	Processed by
Annual	

Agency Contact	Agency Contact Email	Agency Contact Phone

Releasability	Status (Auto)	Received From
POST to OGE website	Certified	<select one>

DAEO?	Year	Extensions Filed	Termination Date
	2010		

[Add Support Doc](#)

Support Documents

Date	Title	Status	Category
2010	ANNUAL - 0817-MARGARET-A-HAMBURG-2010FORM278.PDF	Final	2 - 278

Dates & Other Reviewer Comments

<p>Edit</p> <p>Date Received 08/03/2010</p> <p>Date Assigned 08/10/2010</p> <p>Reviewer Daniel L. Skalla</p> <p>Review Start 08/12/2010</p> <p>Initial Review Complete 08/12/2010</p> <p>Forward For Certification 08/12/2010</p> <p>Select Certifier</p> <p>Returned To</p>	<p>open 278 Filerroom entries</p> <div style="background-color: black; color: white; padding: 5px;">(b)(5)</div>
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Reviewer
File Uncertified
Certified By
Date Certified 03/07/2011

Computed status: Certified

Current User: Rachel K. Dowell

Form 278 - Annual Edit

Filer

Name	Agency/Position
Hamburg, Margaret A	Department Of Health And Human Services Commissioner Food and Drug Administration

Workflow and Routing [need instructions?](#)

Routing Step Number	Task Summary
of 5	

Review

Type	Processed by
Annual	

Agency Contact	Agency Contact Email	Agency Contact Phone

Releasability	Status (Auto)	Received From
POST to OGE website	Certified	<select one>

DAEO?	Year	Extensions Filed	Termination Date
	2011		

Add Support Doc

Support Documents

Date	Title	Status	Category
2011	ANNUAL - MARGARET-A-HAMBURG-2011FORM278.PDF	Final	2 - 278

Dates & Other Reviewer Comments

Edit	open 278 Filerroom entries
Date Received 09/22/2011	
Date Assigned 01/19/2012	
Reviewer Bradley J. Khaner	
Review Start 01/20/2012	
Initial Review Complete 01/20/2012	
Forward For Certification 01/20/2012	
Select Certifier	
Returned To	

Reviewer	(b)(5)
File Uncertified	
Certified By	
Date Certified	
03/28/2012	

Computed status: Certified

Current User: Rachel K. Dowell



Form 278 - Annual Edit

Filer

Name	Agency/Position
Hamburg, Margaret A	Department Of Health And Human Services Commissioner Food and Drug Administration

Workflow and Routing [need instructions?](#)

Routing Step Number	Task Summary
of 5	

Review

Type	Processed by
Annual	

Agency Contact	Agency Contact Email	Agency Contact Phone

Releasability	Status (Auto)	Received From
POST to OGE website	Certified	<select one>

DAEO?	Year	Extensions Filed	Termination Date
	2012		

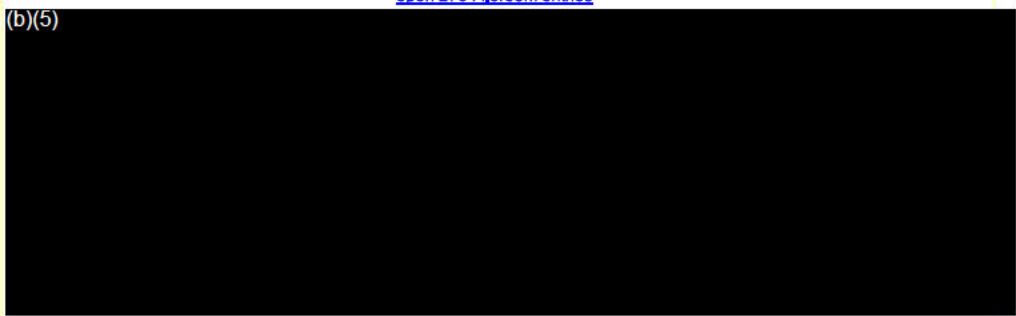
Add Support Doc

Support Documents

Date	Title	Status	Category
2012	ANNUAL - MARGARET-A-HAMBURG-2012FORM278CERTIFIED.PDF	Final	2 - 278

Dates & Other Reviewer Comments

Edit	open 278 Filer room entries
Date Received 09/13/2012	
Date Assigned 09/25/2012	
Reviewer Mark R.B. Stewart	
Review Start 10/25/2012	
Initial Review Complete 10/25/2012	
Forward For Certification 10/25/2012	
Select Certifier	
Returned To	



Reviewer
File Uncertified
Certified By
Date Certified 10/25/2012

Computed status: Certified

Current User: Rachel K. Dowell



Form 278 - Annual Edit

Filer

Name	Agency/Position
Hamburg, Margaret A	Department Of Health And Human Services Commissioner Food and Drug Administration

Workflow and Routing [need instructions?](#)

Routing Step Number	Task Summary
of 5	

Review

Type	Processed by
Annual	

Agency Contact	Agency Contact Email	Agency Contact Phone

Releasability	Status (Auto)	Received From
POST to OGE website	Certified	<select one>

DAEO?	Year	Extensions Filed	Termination Date
	2013		

[Add Support Doc](#)

Support Documents

Date	Title	Status	Category
2013	ANNUAL - MARGARET-A-HAMBURG-2013FORM278.PDF	Final	2 - 278

Dates & Other Reviewer Comments

<p style="text-align: center;">Edit</p> <p>Date Received 09/19/2013</p> <p>Date Assigned 09/20/2013</p> <p>Reviewer Michelle MaGee</p> <p>Review Start 09/23/2013</p> <p>Initial Review Complete 09/24/2013</p> <p>Forward For Certification 09/26/2013</p> <p>Select Certifier</p> <p>Returned To</p>	<p style="text-align: center;">open 278 Filerroom entries</p> <div style="background-color: black; color: white; padding: 10px;"> <p>(b)(5)</p> </div>
--	--

Reviewer
File Uncertified
Certified By
Date Certified 09/27/2013

Computed status: Certified

Current User: Rachel K. Dowell



Form 278 - Annual Edit

Filer

Name	Agency/Position
Hamburg, Margaret A	Department Of Health And Human Services Commissioner Food and Drug Administration

Workflow and Routing [need instructions?](#)

Routing Step Number	Task Summary
of 5	

Review

Type	Processed by
Annual	

Agency Contact	Agency Contact Email	Agency Contact Phone

Releasability	Status (Auto)	Received From
POST to OGE website	Certified	<select one>

DAEO?	Year	Extensions Filed	Termination Date
	2014		

Add Support Doc

Support Documents

Date	Title	Status	Category
2014	ANNUAL - MARGARET-A-HAMBURG-2014FORM278.PDF	Final	2 - 278

Dates & Other Reviewer Comments

Edit	open 278 Filerroom entries
Date Received 11/05/2014	
Date Assigned 11/10/2014	
Reviewer Ciara M. Guzman	
Review Start 11/18/2014	
Initial Review Complete 11/18/2014	
Forward For Certification 11/18/2014	
Select Certifier	
Returned To	

Reviewer	(b)(5)
File Uncertified	
Certified By	
Date Certified	
11/18/2014	

Computed status: Certified

Current User: Rachel K. Dowell



Form 278 - Annual Term	Edit
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Filer

Name	Agency/Position
Hamburg, Margaret A	Department Of Health And Human Services Commissioner Food and Drug Administration

Workflow and Routing [need instructions?](#)

Routing Step Number	Task Summary
of 5	

Review

Type	Processed by
Annual Term	

Agency Contact	Agency Contact Email	Agency Contact Phone

Releasability	Status (Auto)	Received From
POST to OGE website	Certified	<select one>

DAEO?	Year	Extensions Filed	Termination Date
	2015	45 Days	

[Add Support Doc](#)

Support Documents

Date	Title	Status	Category
none	TERMINATION - MARGARET A HAMBURG-2015FORM278TERMINATION.PDF	Final	2 - 278

Dates & Other **Reviewer Comments**

<p>Edit</p> <p>Date Received 07/16/2015</p> <p>Date Assigned 07/16/2015</p> <p>Reviewer Daniel L. Skalla</p> <p>Review Start 07/17/2015</p> <p>Initial Review Complete 07/17/2015</p> <p>Forward For Certification 07/17/2015</p> <p>Select Certifier</p> <p>Returned To</p>	<p>open 278 Filerroom entries</p> <div style="background-color: black; color: white; padding: 5px;"> (b)(5) </div>
--	--

Reviewer	(b)(5)
File Uncertified	
Certified By	
Emory Rounds	
Date Certified	
07/21/2015	

Computed status: Certified

Current User: Rachel K. Dowell

United States Office of Government Ethics
Financial Disclosure Tracking System
278 Review Sheet 11/18/2014

Name of Filer: Hamburg ,Margaret
Report Type: Annual
Reporting Year: 2014
Department/Agency: Department Of Health And Human Services
Position: Commissioner Food and Drug Administration
DAEO: No
Original From Agency: 11/5/2014
OGE 1st Extension Granted: No
OGE 2nd Extension Granted: No
Confirm/Entr: 5/18/2009 Recess Appointment: Termination:
Reviewer: Guzman ,Ciara

To 11/10/201 Review 11/18/201 Review 11/18/201 Reviewer 11/18/201
Reviewer: 4 Started: 4 End: 4 Approved: 4

Recommendation: (b)(5)

Report Review Approvals

<u>OGE Official</u>	<u>Review Start</u>	<u>Date</u>	<u>Review Complete</u>	<u>Date</u>
OGE Director	-	-	-	-

Comments of Reviewer

(b)(5)

(b)(5)

(b)(5)

(b)(5)

United States Office of Government Ethics
Financial Disclosure Tracking System

278 Review Sheet 11/18/2014

(b)(5) [Redacted]

[Redacted]

[Redacted]

(b)(5) [Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

United States Office of Government Ethics
Financial Disclosure Tracking System

278 Review Sheet 9/27/2013

Name of Filer: Hamburg, Margaret

Report Type: Annual

Reporting Year: 2013

Department/Agency: Department Of Health And Human Services

Position: Commissioner Food and Drug Administration

DAEO: No

Original From Agency: 9/19/2013

OGE 1st Extension Granted: No

OGE 2nd Extension Granted: No

Confirm/Entr: 5/18/2009

Recess Appointment:

Termination:

Reviewer: Magee, Michelle

To 9/20/2013

Review

9/23/2013

Review

9/24/2013

Reviewer

9/26/2013

Reviewer:

Started:

End:

Approved:

Recommendation:

(b)(5)

Report Review Approvals

OGE Official
OGE Director

Review Start

Date

Review Complete

Date

-

-

-

-

Comments of Reviewer

(b)(5)

United States Office of Government Ethics
Financial Disclosure Tracking System

278 Review Sheet 9/27/2013

(b)(5) [REDACTED]

[REDACTED]

(b)(5) [REDACTED]

(b)(5) [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

(b)(5) [REDACTED]

[REDACTED]

Michelle MaGee

From: Hall, Randall (HHS/OGC) (b)(6)
Sent: Thursday, September 26, 2013 10:26 AM
To: Michelle MaGee
Subject: RE: 278 Question

Michelle,

(b)(5)
[Redacted]

Let me know if you need additional information.

Randy

Randall J. Hall
Attorney-Advisor (Team Leader)
Financial Disclosure Section
Ethics Division
OGC, HHS

(b)(6)

From: Michelle MaGee [mailto:mmagee@oge.gov]
Sent: Thursday, September 26, 2013 10:04 AM
To: Hall, Randall (HHS/OGC)
Subject: 278 Question

Hi Mr. Hall,

I have a question regarding Margaret A. Hamburg's 278. In reviewing Mrs. Hamburg's financial disclosure form,

(b)(5) (b)(5)
[Redacted]

Thank you,

Michelle MaGee
Program Analyst
U.S. Office of Government Ethics
1201 New York Ave, NW
Suite 500
Washington, DC 20005
Tel: 202-482-9245

Financial Disclosure Tracking System

SF278 Review Sheet

Name of Filer: HAMBURG . MARGARET A.

Report Type: Annual

Reporting Year: 2012

Department/Agency: DEPARTMENT OF HEALTH AND HUMAN SERVICES

Position: DEPARTMENT OF HEALTH AND HUMAN SERVICES

DAEO: No

Job Status: Full Time

Original From Agency: 9/13/2012

OGE Extension Granted:

Confirm/Entr: 5/18/2009

Recess Appointment:

Termination:

Reviewer: MSTEWART

To Reviewer: 9/25/2012

Review Started: 10/25/2012

Review End: 10/25/2012

Reviewer Approved: 10/25/2012

Recommendation: (b)(5)

Report Review Approvals

OGE Official	Review Start	Date	Review Complete	Date
Second Level Rvw				
Chief, FDD			ap	10/25/12
OGE Director				

Comments of Reviewer:

(b)(5)

[Redacted]

(b)(5)

(b)(5)

(b)(5)

[Redacted]

[Redacted]

[Redacted]

.....

Financial Disclosure Tracking System

SF278 Review Sheet

Comments of 2nd Level: (b)

Other Comment:

Comments of Signing Official:

Financial Disclosure Tracking System

SF278 Review Sheet

(b)(5) [Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

Comments of 2nd Level: (b) [Redacted]

Other Comment:

Comments of Signing Official:

Financial Disclosure Tracking System

SF278 Review Sheet

Name of Filer: HAMBURG . MARGARET A.

Report Type: Annual

Reporting Year: 2010

Department/Agency: DEPARTMENT OF HEALTH AND HUMAN SERVICES

Position: DEPARTMENT OF HEALTH AND HUMAN SERVICES

DAEO: No

Job Status: Full Time

Original From Agency: 8/3/2010

OGE Extension Granted:

Confirm/Entr: 5/18/2009

Recess Appointment:

Termination:

Reviewer: DLSKALLA

To Reviewer: 8/10/2010

Review Started: 8/12/2010

Review End: 8/12/2010

Reviewer Approved: 8/12/2010

Recommendation: (b)(5)

Report Review Approvals

<u>OGE Official</u>	<u>Review Start</u>	<u>Date</u>	<u>Review Complete</u>	<u>Date</u>
Second Level Rvw				
Chief, FDD				
OGE Director				2/7/11 nu

Comments of Reviewer:

(b)(5)

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

Comments of 2nd Level:(b)

Other Comment:

Comments of Signing Official:

4 pages of reviewer's notes redacted in full (b)(5)

Lorna Syme - RE: Responses re: Dr. Hamburg's Holdings

From: "Swindell, Edgar M. (HHS/OGC)" <(b)(6)>
To: "Walter Shaub" <wmshaub@oge.gov>, "Hall, Randall (HHS/OGC)" <(b)(6)>, "Elizabeth Fischmann" <ejfischm@oge.gov>, "Lorna Syme" <lasyme@oge.gov>
Date: 02/26/2009 3:51 PM
Subject: RE: Responses re: Dr. Hamburg's Holdings

Walter,

Ask about the two holdings (b)(6)

Ed

From: Walter Shaub [mailto:wmshaub@oge.gov]
Sent: Thursday, February 26, 2009 3:45 PM
To: Swindell, Edgar M. (HHS/OGC); Hall, Randall (HHS/OGC); Elizabeth Fischmann; Lorna Syme
Subject: Fwd: Responses re: Dr. Hamburg's Holdings

>>> "Buchwald, Michael" <(b)(6)> 2/25/2009 2:14 PM >>>

Walt,

We are getting back to you on the following 4 items re: Dr. Hamburg's holdings:

(b)(6)
[Redacted]

Here is the additional information we would like to provide on each remaining issue:

(b)(6)
[Redacted]

(b)(6)
[Redacted]

(b)(6)

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

Please let us know if you have any questions.

Thanks very much.

Sincerely,
Mike Buchwald

Michael F. Buchwald
O'Melveny & Myers LLP

(b)(6)
[Redacted]
[Redacted]
[Redacted]

This message and any attached documents contain information from the law firm of O'Melveny & Myers LLP that may be confidential and/or privileged. If you are not the intended recipient, you may not read, copy, distribute, or use this information. If you have received this transmission in error, please notify the sender immediately by reply e-mail and then delete this message.

11 pages of reviewer's notes redacted in full (b)(5)

March 26, 2009

Mr. Edgar M. Swindell
Associate General Counsel/Ethics
Designated Agency Ethics Official
U.S. Department of Health and Human Services
Room 710-E, Hubert H. Humphrey Building
200 Independence Avenue, SW
Washington, DC 20201

Dear Mr. Swindell:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest in the event that I am confirmed for the position of Commissioner, Food and Drug Administration, U.S. Department of Health and Human Services.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

Upon confirmation, I will resign from the following positions reported in my financial disclosure report:

The Rockefeller Foundation (Board of Trustees)
Aaron Diamond AIDS Research Center (Board of Directors)
Drug Strategies (Board of Directors)
Trust for America's Health (Board of Directors)
Institute of Medicine Council
Math for America (Board of Directors)
National Health Museum (Board of Directors)
Conservation International (Board of Directors)
Sidwell Friends School (Board of Trustees)
March of Dimes (Public Policy Advisory Council)
The Project on Emerging Nanotechnologies, Woodrow Wilson International Center for
Scholars (Board Member)
Journal of Biosecurity and Bioterrorism (Editorial Board)
Institute of Medicine, National Academy of Science (Chair, Board on Global Health)
Institute of Medicine, Forum on Microbial Threats (Vice-Chair)

Mr. Edgar M. Swindell

Page 2

Nuclear Threat Initiative (Senior Scientist)

In addition, I resigned from the Board of Directors of Doctors of the World in August 2008; from the Board of Trustees of Rockefeller University in November 2008; and from my position at the American Society for Microbiology in July 2008. For a period of one year after my resignation from each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which that entity is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

Within the past year, I have provided services to the Markle Foundation, the Heinz Foundation, the deBeaumont Foundation, and the Irene Diamond Foundation. For a period of one year after I last provided services to each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which that entity is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

Following my appointment, my spouse and I will divest our interests in the following entities within 90 days of my confirmation:

Renaissance Institutional Equities Fund RMP LLP
Renaissance Institutional Futures Fund RMP
Solera Executive Partners LP
Topspin Partners LBO

With regard to each of these entities, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of the entity until I have divested it, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

Upon confirmation, I will resign from my positions on the Board of Directors and the Medical Advisory Board of Henry Schein, Inc. I currently hold stock, vested stock options, unvested stock options, and restricted stock in Henry Schein, Inc. I also participate in a Henry Schein, Inc., deferred compensation plan for Non-Employee Directors, pursuant to which I receive my compensation for serving as a Director as phantom stock. Under that plan, I am entitled to receive a distribution of Henry Schein stock equal to the value of my phantom stock upon my resignation from the Board of Directors. Upon my resignation from my positions with Henry Schein, Inc., I will forfeit any stock options and restricted stock that are unvested at the time of my resignation. Before I assume the duties of the position of Commissioner, Henry Schein, Inc., will pay all outstanding deferred compensation to me. Following my appointment, I will divest my vested stock options and stock in Henry Schein, Inc., by exercising all such options and divesting all stock within 90 days of my confirmation. I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of Henry Schein, Inc., until I have divested it, unless I first obtain a written

Mr. Edgar M. Swindell

Page 3

waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). For a period of one year after my resignation, I also will not participate personally and substantially in any particular matter involving specific parties in which Henry Schein, Inc., is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

Additionally, my spouse, my minor children, and I will divest our interests in Meritage Holdings LTD Class A and Meritage Investors LLC on or before July 1, 2009. I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of Meritage Investors LLC or Meritage Holdings LTD Class A of which I have knowledge until I have divested them, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

My spouse owns a 25% share of Rockland Farm LLC, a family farm. The farm's grazing rights are currently leased and Rockland Farm LLC derives income from the rent paid for the lease. I do not hold a position with this entity. Through my spouse, I will continue to hold a financial interest in this entity, but I will not manage it or provide any other services to it. I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of Rockland Farm LLC, unless I first obtain a written waiver pursuant to 18 U.S.C. § 208(b)(1). Additionally, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the ability or willingness of the lease holders of the farm held by Rockland Farm LLC, to make rent payments, unless I first obtain a written waiver pursuant to 18 U.S.C. § 208(b)(1).

My spouse is an employee of Renaissance Technologies Corporation ("RTC"), and we have investments in that entity and certain funds it manages. The terms of our investments are such that I do not know the underlying assets of the funds. My spouse is contractually prohibited from disclosing any of the holdings of specific funds managed by RTC to anyone (except as disclosures are required to be made in the ordinary course of the funds' business), including to any family member. As Commissioner, I will not participate personally and substantially in any particular matter in which I know that at the time of my participation I, through my family's interest in RTC, have a financial interest, if that particular matter will have a direct and predictable effect on any such interest, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

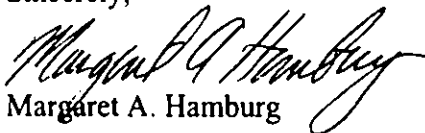
In order to avoid potential conflicts of interest during my appointment as Commissioner, I, my spouse, or any minor children of mine will not acquire any direct financial interest in entities listed on the FDA prohibited holdings list or in entities involved, directly or through subsidiaries, in the following industries: (1) research, development, manufacture, distribution, or sale of pharmaceutical, biotechnology, or medical devices, equipment, preparations, treatment, or products; (2) veterinary products; (3) healthcare management or delivery; (4) health, disability, or workers compensation insurance or related services; (5) food and/or beverage

Mr. Edgar M. Swindell

Page 4

production, processing or distribution; (6) communications media; (7) computer hardware, computer software, and related internet technologies; (8) wireless communications; (9) social sciences and economic research organizations; (10) commercial airlines, railroads, shiplines, and cargo carriers; or (11) sector mutual funds that concentrate their portfolios on one country other than the United States. In addition, we will not acquire any direct financial interests in sector mutual funds that concentrate in any of these sectors.

Sincerely,

A handwritten signature in cursive script, appearing to read "Margaret A. Hamburg".

Margaret A. Hamburg

1 page redacted in full (b)(5)

Lori Kelly

From: 278Tracking
Sent: Monday, November 10, 2014 11:30 AM
To: 'Hall, Randall (HHS/OGC)'; 278Tracking
Cc: Ciara M. Guzman; Michelle MaGee; Jaideep Mathai; David A. Meyers; Daniel L. Skalla; Michelle M. Walker
Subject: RE: CY 2013 OGE 278s

Greetings!

We received the Public Financial Disclosure reports for:

- Francis S. Collins – Annual CY 2013 – OGE Reviewer: CIARA GUZMAN
- ✓ Margaret A. Hamburg – Annual CY 2013 – OGE Reviewer: CIARA GUZMAN
- Pamela S. Hyde – Annual CY 2013 – OGE Reviewer: MICHELLE MAGEE
- Nicole Luri – Annual CY 2013 – OGE Reviewer: JAIDEEP MATHAI
- Kathleen G. Sebelius– Annual/Term (Date: 6/13/2014) – OGE Reviewer: DAVID MEYERS
- Howard Koh – Annual/Term (Date: 6/13/2014) – OGE Reviewer: DANIEL SKALLA
- Marilyn B. Tavenner – Annual CY 2013 – OGE Reviewer: MICHELLE WALKER

The assigned OGE Reviewer will contact you if there are questions.

Best regard,

U.S. Office of Government Ethics
Compliance Division
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Tel. 202.482.9278

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: [@OfficeGovEthics](https://twitter.com/OfficeGovEthics)

From: Hall, Randall (HHS/OGC) (b)(6)
Sent: Wednesday, November 05, 2014 2:58 PM
To: 278Tracking
Cc: Lori Kelly
Subject: CY 2013 OGE 278s

Enclosed is HHS' second submission in 2014 for the CY2013 reports of PAS appointees and for the DAEO. If you have any questions please contact me.

Randall J. Hall
Attorney-Advisor (Team Leader)
Financial Disclosure Section
Ethics Division
HHS, OGC

(b)(6)

26 pages of personal financial information redacted in full (b)(6)
1 page of reviewer's notes redacted in full (b)(5)

Veda E. Marshall

From: Hall, Randall (HHS/OGC) [(b)(6)]
Sent: Thursday, September 19, 2013 10:05 AM
To: 278Tracking
Cc: Patricia C. Zemple; Kim, Theodore (OS/OGC)
Subject: OGE 278s
Attachments: OGEForwardingLetter2013(2).pdf; OGE278BenjaminTerm.pdf;
OGE278BenjaminCY2012.pdf; OGE278HamburgCY2012.pdf; OGE278MurrayCY2012.pdf

Enclosed is HHS' second submission in 2013 for the CY2012 reports of PAS appointees. If you have any questions please contact me.

Randall J. Hall
Attorney-Advisor (Team Leader)
Financial Disclosure Section
Ethics Division
HHS, OGC
[(b)(6)]

(b)(5)





United States
Office of Government Ethics

1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

July 2, 2009

Edgar Swindell
Associate General Counsel
Department of Health and Human Services
700-E Humphrey Building
200 Independence Avenue, SW.
Washington, DC 20201

Dear Mr. Swindell:

This letter concerns ethics agreements made by Presidential appointees to positions requiring the advice and consent of the Senate, pursuant to 5 U.S.C. App. 110. Upon confirmation, an appointee has 90 days (unless otherwise specified by the agreement) in which to satisfy any ethics agreement.

An appointee must notify her designated agency ethics official (DAEO) of any action(s) taken to satisfy her ethics agreement. Once the DAEO has received verification of compliance, he must submit this documentation to the Office of Government Ethics (OGE) and the appropriate Senate confirmation committee. (See 5 C.F.R. 2634.804.)

To assist agencies in ensuring that appointees comply with their ethics agreements within 90 days, I am writing to remind you that the following appointee has made an ethics agreement. As of July 2, 2009, she has 45 days remaining to comply with her ethics agreement.

<u>Appointee</u>	<u>Confirmation Date</u>	<u>COMPLIANCE EXPIRATION DATE</u>
Hamburg, Margaret	May 18, 2009	AUGUST 16, 2009

If she has already complied, please collect the documentation and forward it to OGE. Should you have any questions or require additional assistance, such as obtaining a Certificate of Divestiture for an appointee, please do not hesitate to contact me at 202-282-9309 or khkaplan@oge.gov.

Sincerely,

Kim Kaplan
Government Ethics Specialist



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

April 17, 2009

Margaret A. Hamburg
10903 New Hampshire Avenue
Silver Springs, MD 20903

Dear Dr. Hamburg:

Congratulations on your nomination to the position of Commissioner, Food and Drug Administration. I hope you will find the position both challenging and rewarding.

Enclosed for your information is a copy of the letter sent to the U.S. Senate stating that the Office of Government Ethics has reviewed your financial disclosure report and that you are in compliance with applicable laws and regulations governing conflicts of interest. Also enclosed is a pamphlet entitled "Ethics Starts Here: A Guide for Senior Officials" to provide you with an introduction to the Government ethics rules. We hope you will find this overview helpful.

As you may already know, all Federal agencies have a Designated Agency Ethics Official (DAEO). If, in the course of the confirmation process, you have any questions about conflicts of interest or other ethics related matters, you should contact your DAEO, Edger Swindell, or a member of his ethics staff.

I wish you all the best as you proceed through the confirmation process.

Sincerely,

A handwritten signature in black ink, appearing to read "Robert I. Cusick".

Robert I. Cusick
Director

Enclosures

2 pages withheld entirely under (b)(5)

Announcement: If you create a duplicate interaction, please contact Gwen Cannon-Jenkins to have it deleted.

Resolved Interactions Details

Reopen Interaction

Title:

Press call for a Financial disclosure report

Interaction #: 8516**Status: Resolved**

Customer Information

Source: Press**First Name:** Katie**Last Name:** Watson**Title:** Reporter**Position:****Email:** (b)(6)**Phone:** (b)(6)**Other Notes:**

Interaction Details

Initiated: 4/1/2016**Call Origination:** Phone**Assigned:** Seth Jaffe**Watching:**

Questions

This is Katie Watson, reporter for the Daily Caller News Foundation. I left a voice message earlier, but I need to obtain the financial disclosure forms for former FDA Commissioner Dr. Margaret Hamburg, for her entire tenure as FDA commissioner. Ideally, I would like to get that today, but Monday would be alright as well. I'm happy to pick them up in person and pay for any necessary copying fees.

Category(s)

278s

Interaction History

4/1/2016: Resolved

Seth Jaffe

I directed the caller to the appropriate place on OGE's website to fill out an automated Form 201 to request public financial disclosure reports.

4/1/2016: Interaction Created

Seth Jaffe

Resolution Details

Interaction Resolved:4/1/2016**Resolution Category:**Resolved**Response:**

I directed the caller to the appropriate place on OGE's website to fill out an automated Form 201 to request public financial disclosure reports.

Complexity:(b)(5)**Amount Of Time Spent On Interaction:**0-1 hour**Individuals Credited:**Seth Jaffe**Add To Agency Profile:** No**Memorialize Content:** No**Do Not Destroy:** No